

# Binary Search Tree (BST) notes

*Prof Bill - Feb 2020*

The reading:

- Sedgewick Algos 3.2 BST, [algs4.cs.princeton.edu/32bst](http://algs4.cs.princeton.edu/32bst)
- Sedgewick Java 4.4 Symbol tables, [introcs.cs.princeton.edu/java/44st/](http://introcs.cs.princeton.edu/java/44st/)
- This **animation** is great: [www.cs.usfca.edu/~galles/visualization/BST.html](http://www.cs.usfca.edu/~galles/visualization/BST.html)
- A nice lecture: [www.cs.cmu.edu/~adamchik/15-121/lectures/Trees/trees.html](http://www.cs.cmu.edu/~adamchik/15-121/lectures/Trees/trees.html)

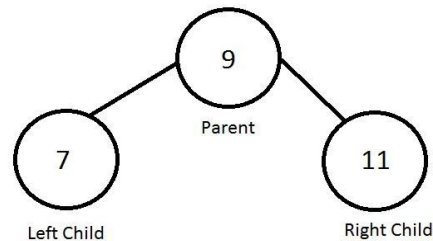
Sections are:

- A. Binary trees
- B. Binary search trees (BST)
- C. BST ADT
- D. Terms

thanks...yow, bill

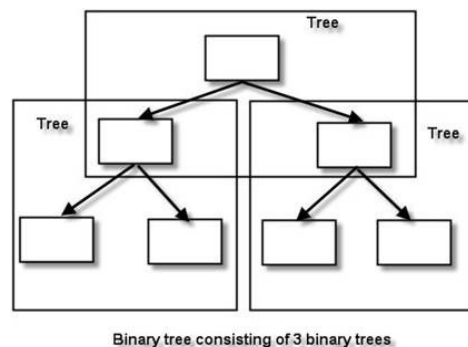
## A. Binary Trees

Binary trees have nodes like a linked list. Each **node** has data (key, value) and then left and right node pointers. The **root** is the first node in the tree.



Source: [cppbetterexplained.com/binary-search-trees/](http://cppbetterexplained.com/binary-search-trees/)

Binary trees are **recursive structures** because nodes have nodes in them. Also, subtrees behave just like the overall tree. Makes for easy recursive methods.



Binary tree consisting of 3 binary trees

Source: [www.sqa.org.uk/e-learning/LinkedDS04CD/page\\_30.htm](http://www.sqa.org.uk/e-learning/LinkedDS04CD/page_30.htm)

Traversal:

- **Preorder:** root, left, right
  - **Inorder:** left, root, right // sorted order in a BST
  - **Postorder:** left, right, root
- /\* memory helper: 1) root determines pre, in, or post and 2) left always before right \*/

Pseudocode... start process with call: `inorder( root):`

```
// inorder traversal to print binary tree
void inorder( Node n)
    if n == null then return
    inorder( n.left)
    print n
    inorder( n.right)
```

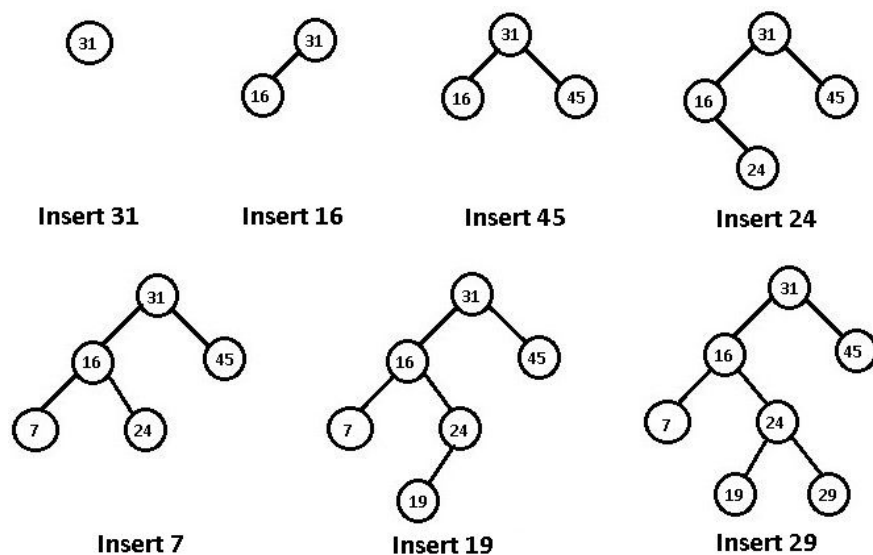
## B. Binary Search Trees (BST)

A binary tree + this magic...**two rules** for every node:

1. left child is less than (<) node
2. right child is greater than (>) node

That's it. Let's build one. An empty BST is root = null (not shown below).

Below: the root is the first node added; in this case 31.



Source: [csegeek.com/csegeek/view/tutorials/algorithms/trees/tree\\_part2.php](http://csegeek.com/csegeek/view/tutorials/algorithms/trees/tree_part2.php)

Notice in our example:

- The root doesn't change when adding to the tree
- Every new node is added as a leaf

Performance for BST magic,

- Average performance is  $O(\log n)$ , problem cut in half with each subtree
- Worst-case performance is  $O(n)$ , an unbalanced tree turns into a linked list (dop!)

There are 3 important methods in the BST ADT:

1. put( K key, V value) - we just did this
2. V get( K key)
3. V remove( K key)

With **put()** - Often, we just show the keys. The value is there or it's just keys (like a set). Use the same left/right algorithm as get() below. New node is always a leaf!

Here's **get()** pseudocode... it's a recursive search:

```
get( K key) {
    return getNode( root, key)           // start at root
}

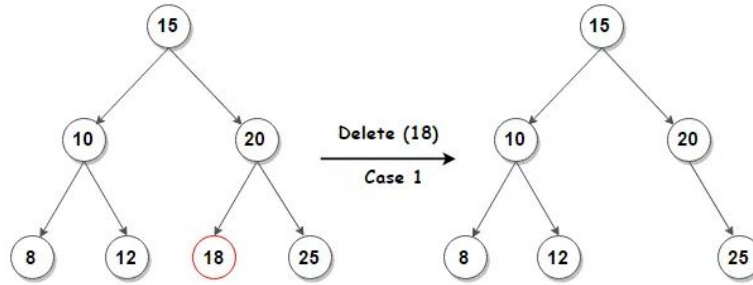
V getNode( Node n, K key) {
    if n == null then return null        // NOT found

    if key == node.key return node.value // FOUND

    if key < node.key
        return getNode( node.left, key) // look LEFT
    else
        return getNode( node.right, key) // look RIGHT
}
```

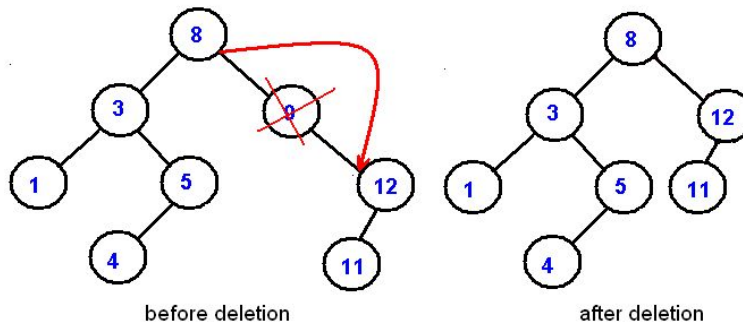
Remove? Well, it's a little tougher.

Three cases, removing a node with no children (leaf), one child, and two children:  
 → No children (leaf) - null out the parent's link to the node (easy)



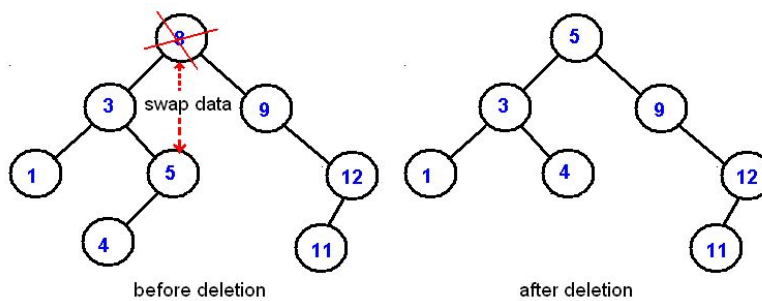
Source: [www.techiedelight.com/deletion-from-bst/](http://www.techiedelight.com/deletion-from-bst/)

→ One child - replace node with its child (pretty easy)



Source: [www.cs.cmu.edu/~adamchik/15-121/lectures/Trees/pix/del01.bmp](http://www.cs.cmu.edu/~adamchik/15-121/lectures/Trees/pix/del01.bmp)

→ Two children - replace node with predecessor (largest node in left subtree, tougher)



About two children - Successor is ok too, smallest node in right subtree; Pred/Succ are always a leaf or one-child node. Yes?

## C. BST ADT

Binary Search Tree (BST) - All operations are average  $O(\log n)$ , worst case  $O(n)$ .

The worst case performance happens when the BST becomes unbalanced, where one subtree is much larger (and longer) than another.

Methods: put( key,value), value get( key), value min(), value max(), print()

Pseudocode... each public method starts at the root and calls a corresponding private, recursive method that uses BST nodes:

```
BinarySearchTree
Node {
    K, V (key, value)
    Node left
    Node right
}
private Node root;

// two put methods: public BST method and private recursive node method
put( K key, V value)
    n = new BST node
    if root == null
        then root = n
    else
        putNode( root, n)

private putNode( Node n, Node putNode)
    if putNode.key < n.key // put in LEFT subtree
        if n.left == null
            n.left = putNode
        else
            putNode( n.left, putNode)
    else if putNode.key > n.key // put in RIGHT subtree
        if n.right == null
            n.right = putNode
        else
            putNode( n.right, putNode)
```

```

// two get methods; get value for this key if found in BST
V get( K key)
    if root == null return null // empty
    return getNode( root, key)

private V getNode( Node n, K key)
    if n == null, then return null // not found
    if n.key == key
        return n.value // FOUND - return it
    else if key < n.key
        return getNode( n.left, key) // look LEFT
    else
        return getNode( n.right, key) // look RIGHT

// two min methods; return the leftmost node, which is min
V min()
    if root == null, then return null
    return minNode( root)

private V minNode( Node n)
    if n.left == null
        return n.value // no more left nodes, this is MIN
    else
        return minNode( n.left) // go left again

// print BST keys in sorted order
print()
    printNode( root)

private printNode( Node n)
    if n == null, then return
    printNode( n.left)
    print n.data
    printNode( n.right)

```

Notes:

- All this recursion is **tail recursion** and can be easily replaced by iteration.

## D. Terms

Binary tree and BST terms include:

node

root

parent

child

leaf

get, put, min, print

inorder, preorder, postorder

balanced

expected:  $O(\log n)$ , worst:  $O(n)$

Java: Comparable

Java, TreeMap, [www.baeldung.com/java-treemap](http://www.baeldung.com/java-treemap)